

## UTILITY APPLICATION TRANSMITTAL LETTER (Small Entity)

## INVENTOR(S)

Name	Residence
Joseph Lerner	2905 N. 22nd Street Arlington, Virginia 22201
Jerome Szelc	20565 Tidewater Court Sterling, Virginia 20165
Stewart Christ	1012 Van Buren Street Herndon, Virginia 22070
James Currier	2836 Severn Lane Bloomfield Hills, MI 48304
Carl Enroth	4781 Williamsburg Blvd. Arlington, Virginia 22070
John Roehling	3078 Mapleridge Court Rochester Hills, MI 48309

Serial Number: **UNASSIGNED**Art Unit: **UNASSIGNED**Filing Date: **December 1, 2000**Examiner: **UNASSIGNED**Title: **Electronic Purchase and Sale of Securities System and Method****TO THE ASSISTANT COMMISSIONER FOR PATENTS**

Transmitted herewith is a U.S. Utility Patent Application comprising:

19 pages of Specification including 12 Claims (2 independent, 10 dependent),  
1 Page Abstract

11 Sheets of Drawings

☐ Other

☒ This application claims the benefit of U.S. Provisional Application No. 60/168,185, Filed December 1, 1999

☐ Fees accompany application in the following amount and description

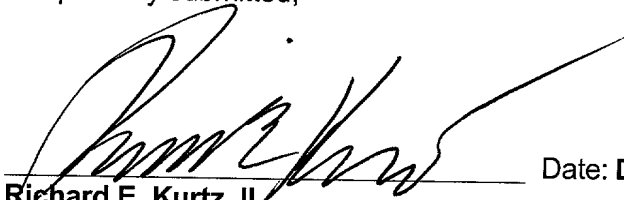
☒ No fees accompany this application.

☒ Applicant Claims the benefit of Small Entity Status

☐ The Commissioner is hereby authorized to charge any additional fees associated with this communication under 37 C.F.R. § 1.16 and 1.17, or credit any overpayment to **Deposit Account No. 50-0653**.

☐ The Commissioner is hereby authorized to charge Patent filing fees and processing fees to **Deposit Account No. 50-0653**

Respectfully submitted,



Date: **December 1, 2000**

**Richard E. Kurtz, II**

Registration Number: **33,936** Customer Number: **22191**

**Greenberg & Traurig**

1750 Tysons Boulevard, 12<sup>th</sup> Floor

McLean, Virginia 22102

703-749-1330

Docket No.: **28961.011300**